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PTO/SB/05 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032
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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))

Attorney Docket No. SCH-1700 D1
First Inventor Roland Schule et al
Title USE OF SLIM3 FOR BINDING TO MOLECULES
Express Mail Label No.

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

- 1 ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
See 37 CFR 1.27.
- 2 ☐ Applicant claims small entity status.
- 3 ☒ Specification [Total Pages 22]
(preferred arrangement set forth below)
- Descriptive title of the Invention
- Cross References to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to sequence listing, a table, or a computer program listing appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure
- 4 ☐ Drawing(s) (35 U.S.C. 113) [Total Sheets 0]
5 Oath or Declaration [Total Pages]
a. ☐ Newly executed (original or copy)
b. ☒ Copy from a prior application (37 CFR 1.63 (d))
(for a continuation/divisional with Box 18 completed)
i. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
- 6 ☒ Application Data Sheet. See 37 CFR 1.76

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

- 7 ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
a. ☐ Computer Readable Form (CRF)
b. Specification Sequence Listing on:
i. ☐ CD-ROM or CD-R (2 copies); or
ii. ☐ paper
c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATIONS PARTS

- 9 ☐ Assignment Papers (cover sheet & document(s))
10 ☐ 37 C.F.R. §3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)
11 ☐ English Translation Document (if applicable)
12 ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13 ☒ Preliminary Amendment
14 ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15 ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16 ☐ Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17 ☐ Other: _____

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☒ Divisional ☐ Continuation-in-part (CIP)

of prior application No: 09/428,647 / filed 10/28/99

Prior application information: Examiner J. Murphy

Group / Art Unit: 1646

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label



or ☐ Correspondence address below

23599

PATENT TRADEMARK OFFICE

Name			
Address			
City	State	Zip Code	
Country	Telephone	Fax	

Name (Print/Type)	Nancy J. Axelrod	Registration No. (Attorney/Agent)	44,014
Signature	Nancy Axelrod	Date	July 23, 2001

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FEE TRANSMITTAL for FY 2001

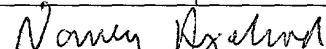
Patent fees are subject to annual revision.

Complete if Known

Application Number	Not Assigned
Filing Date	July 19, 2001
First Named Inventor	Roland Schule et al.
Examiner Name	Not Assigned
Group / Art Unit	Not Assigned
Attorney Docket No.	SCH-1700 D1

TOTAL AMOUNT OF PAYMENT (\$) 710

METHOD OF PAYMENT (check one)				FEE CALCULATION (continued)																																																																																																																																																				
<p>1. <input type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to</p> <p>Deposit Account Number: 13-3402</p> <p>Deposit Account Name: Millen, White, Zelano & Branigan, P.C.</p> <p><input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17</p> <p><input type="checkbox"/> Applicant claims small entity status See 37 CFR 1.27</p>				<p>3. ADDITIONAL FEES</p> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th>Fee Code</th> <th>Large Entity Fee (\$)</th> <th>Small Entity Fee (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>105</td><td>130</td><td>65</td><td>Surcharge - late filing fee or oath</td><td></td></tr> <tr><td>127</td><td>50</td><td>25</td><td>Surcharge - late provisional filing fee or cover sheet</td><td></td></tr> <tr><td>139</td><td>130</td><td>130</td><td>Non-English specification</td><td></td></tr> <tr><td>147</td><td>2,520</td><td>2,520</td><td>For filing a request for reexamination</td><td></td></tr> <tr><td>112</td><td>920*</td><td>920*</td><td>Requesting publication of SIR prior to Examiner action</td><td></td></tr> <tr><td>113</td><td>1,840*</td><td>1,840*</td><td>Requesting publication of SIR after Examiner action</td><td></td></tr> <tr><td>115</td><td>110</td><td>55</td><td>Extension for reply within first month</td><td></td></tr> <tr><td>116</td><td>390</td><td>195</td><td>Extension for reply within second month</td><td></td></tr> <tr><td>117</td><td>890</td><td>445</td><td>Extension for reply within third month</td><td></td></tr> <tr><td>118</td><td>1,390</td><td>695</td><td>Extension for reply within fourth month</td><td></td></tr> <tr><td>128</td><td>1,890</td><td>945</td><td>Extension for reply within fifth month</td><td></td></tr> <tr><td>119</td><td>310</td><td>155</td><td>Notice of Appeal</td><td></td></tr> <tr><td>120</td><td>310</td><td>155</td><td>Filing a brief in support of an appeal</td><td></td></tr> <tr><td>121</td><td>270</td><td>135</td><td>Request for oral hearing</td><td></td></tr> <tr><td>138</td><td>1,510</td><td>1,510</td><td>Petition to institute a public use proceeding</td><td></td></tr> <tr><td>140</td><td>110</td><td>55</td><td>Petition to revive - unavoidable</td><td></td></tr> <tr><td>141</td><td>1,240</td><td>620</td><td>Petition to revive - unintentional</td><td></td></tr> <tr><td>142</td><td>1,240</td><td>620</td><td>Utility issue fee (or reissue)</td><td></td></tr> <tr><td>143</td><td>440</td><td>220</td><td>Design issue fee</td><td></td></tr> <tr><td>144</td><td>600</td><td>300</td><td>Plant issue fee</td><td></td></tr> <tr><td>122</td><td>130</td><td>130</td><td>Petitions to the Commissioner</td><td></td></tr> <tr><td>123</td><td>130</td><td>130</td><td>Petitions related to provisional applications</td><td></td></tr> <tr><td>126</td><td>180</td><td>180</td><td>Submission of Information Disclosure Stmt</td><td></td></tr> <tr><td>581</td><td>40</td><td>40</td><td>Recording each patent assignment per property (times number of properties)</td><td></td></tr> <tr><td>146</td><td>710</td><td>355</td><td>Filing a submission after final rejection (37 CFR § 1.129(a))</td><td></td></tr> <tr><td>149</td><td>710</td><td>355</td><td>For each additional invention to be examined (37 CFR § 1.129(b))</td><td></td></tr> <tr><td>179</td><td>710</td><td>355</td><td>Request for Continued Examination (RCE)</td><td></td></tr> <tr><td>169</td><td>900</td><td>900</td><td>Request for expedited examination of a design application</td><td></td></tr> </tbody> </table>				Fee Code	Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid	105	130	65	Surcharge - late filing fee or oath		127	50	25	Surcharge - late provisional filing fee or cover sheet		139	130	130	Non-English specification		147	2,520	2,520	For filing a request for reexamination		112	920*	920*	Requesting publication of SIR prior to Examiner action		113	1,840*	1,840*	Requesting publication of SIR after Examiner action		115	110	55	Extension for reply within first month		116	390	195	Extension for reply within second month		117	890	445	Extension for reply within third month		118	1,390	695	Extension for reply within fourth month		128	1,890	945	Extension for reply within fifth month		119	310	155	Notice of Appeal		120	310	155	Filing a brief in support of an appeal		121	270	135	Request for oral hearing		138	1,510	1,510	Petition to institute a public use proceeding		140	110	55	Petition to revive - unavoidable		141	1,240	620	Petition to revive - unintentional		142	1,240	620	Utility issue fee (or reissue)		143	440	220	Design issue fee		144	600	300	Plant issue fee		122	130	130	Petitions to the Commissioner		123	130	130	Petitions related to provisional applications		126	180	180	Submission of Information Disclosure Stmt		581	40	40	Recording each patent assignment per property (times number of properties)		146	710	355	Filing a submission after final rejection (37 CFR § 1.129(a))		149	710	355	For each additional invention to be examined (37 CFR § 1.129(b))		179	710	355	Request for Continued Examination (RCE)		169	900	900	Request for expedited examination of a design application	
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SUBMITTED BY				Complete (if applicable)	
Name (Print/Type)	Nancy J. Axelrod	Registration No. Attorney/Agent)	44,014	Telephone	703 243-6333
Signature				Date	July 23, 2001

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